City Enrichment Fund (CEF) 2024

General Fund Guidelines



OVERVIEW

The City of Hamilton invests in the community by providing grants through the City Enrichment Fund.

To provide greater transparency and access to the City Enrichment Fund as an overall granting program, this document provides the general guidelines that apply to all of its seven distinct funding program areas.

To acknowledge the unique needs within each of the seven program areas and their funding streams, <u>program specific handbooks</u> (located in the program area section of the CEF webpage) provide further information.

Applicants must consult both the general guidelines and the program specific handbooks in order to ensure all eligibility criteria are met.

Important Dates and Timeline

November 1, 20)23	Application	submission	period or	pen
		, .ppca	0001111001011	P 0 0 G O	00

Guidelines and handbooks available online

November to December 2023 Public information and drop-in sessions

December 15, 2023 – 4pm Application deadline

Late Spring 2024 Funding recommendations are submitted in a report to

the Grants Sub-Committee, then to Audit, Finance and Administration with Final Approval to City of Hamilton

Council

Late Spring to Early Summer

2024

Applicants are notified of results. A funding agreement is

included with notifications to successful applicants

November 1, 2024 Final Submission date for 2023 Funding Agreement

Forms

Early 2025 Grantee Final Reports

CONTENTS

Important Dates and Timeline	2
City Enrichment Fund Staff Contact Information	6
City Enrichment Fund Reference Guide	7
How To Begin	11
Applying Online	11
The Application	11
Program Budget	12
The Adjudication Process	12
Who Reviews the Applications?	13
Adjudication Process	13
Application Scoring and Rating	13
Approval Process	14
Notifications	14
Funding Agreement (Successful applicants)	14
Payment Plan	14
Reporting Requirements	15
Glossary of Terms	16

GENERAL ELIGIBILITY

Please Note: In addition to these general criteria, applicants are required to review the relevant <u>program area handbook for additional stream specific eligibility information.</u>

*Note that meeting the	The following types of organizations are eligible within the City Enrichment Fund.
eligibility criteria does not guarantee that	Incorporated not-for-profit organizations
applications will be	Organizations with a charitable numberCommunity associations
funded.	 Community associations Unincorporated groups with not-for-profit goals and
	governance structure.
	Creation & Presentation artists and collectives (Arts Program Only)
	Funded activities <u>must</u> take place within the city of Hamilton.
Ineligible	For-profit organizations and ventures
Organizations / Ineligible Activities	Religious activities
ineligible Activities	Religious organizations* Political parties
	Political partiesHospitals
	Foundations
	Funding bodies or organizations
	Sports Teams
	Programs or events that promote the City of Hamilton
	Organizations whose activities are deemed to come
	under the jurisdiction of other levels, divisions, departments or agencies of the City or other
	governments, i.e. school boards, post-secondary institutions,
	social service organizations, hospitals, business improvement associations.
	Fundraising activities
	Activities that take place outside of the city of Hamilton
	* Funding requests from religious organizations and funding
	bodies or organizations must demonstrate clear boundaries
	between its religious / fundraising content and public programing
	in both the program details and budget.
Ineligible Expenses	Capital projects*
	Deficit reduction Detroportive estivity
	Retroactive activityHST
	Programming outside of Hamilton
	* Environment Program Capacity Building Stream permits limited capital project expenses. Refer to the Environment Handbook for further information.

New request amount. A passing application does not guaranteed funding. Funding Requests Over \$15,001: Funding requests should not exceed 30% of the total program budget. Funding Requests Below \$15,000: CEF funding requests cannot be 100% of the proposed program and must demonstrate additional funding / revenue sources. Operating Streams: The 30% funding policy continues to be applied within all operating streams. The City Enrichment Fund does not fund 100% of program expenses. Application budgets should include diverse revenue in support of the proposed program. Follow the specific funding maximums associated with each Program Area and Stream. Other important Applicants with any outstanding City Enrichment Fund Final criteria Reports or other requested follow up material are ineligible for subsequent funding. Late applications will not be accepted. Funding applications must not be signed by City of Hamilton employees or City Councillors. City of Hamilton employees who wish to apply to the City Enrichment Fund must contact the program manager prior to applying. All applicants are strongly encouraged to contact staff prior to submitting an application to discuss their program details.

CITY ENRICHMENT FUND STAFF CONTACT INFORMATION

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CITY ENRICHMENT FUND REFERENCE GUIDE

The City Enrichment Fund is the overall name for the City of Hamilton's municipal investment in a wide range of program areas that support the City's strategic plan -Our Future Hamilton - Community Vision. It is comprised of program areas, streams, and categories.

Program Areas The seven unique sector areas are served by the City Enrichment Fund.

Funding Streams Specific grants available within each program area.

Categories There are categories within funding streams. Please refer to the individual

program handbook for details.

Program Areas and Streams						
AGRICULTURE	ARTS	COMMUNITIES, CULTURE & HERITAGE	COMMUNITY SERVICES	DIGITAL (Pilot)	ENVIRONMENT	SPORTS & ACTIVE LIFESTYLES
Programs and Events	Operating	Events	No one is Hungry or Without Shelter	Digital Equity	Capacity Building	Long Term Development
	Festivals	Projects	Everyone Feels Safe		Projects & Programs	Sports Awareness
	Capacity Building	Capacity Building	Every Child and Family Thrives			Capacity Building
	Creation & Presentation		No Youth is Left Behind			Sport for Development/ Inclusion
			Capacity Building			Accessibility
			Everyone Can Age in Place			Active for Life
			Community Capacity Grows			Multi-Sport Hosting
			Everyone Has Someone to Talk To			
			Emerging Needs & Program Innovation			

Funding Streams

If you have read the general eligibility criteria and would like to apply, please familiarize yourself with the various funding streams available. The 7 program areas with their streams and objectives are as follows:

Agriculture Program

- To support organizations, events and activities that engages the public in strengthening and celebrating agriculture and its contribution to the economy and quality of life in Hamilton.
- To encourage action-oriented initiatives across the agriculture community that maintain and build the strength of the sector.
- To help the City of Hamilton achieve its strategic goals.

Grant Stream

Program & Events

To provide not-for-profit agriculture organizations with assistance towards a stable funding base to enable them to further their mission.

Arts Program

To provide opportunities for all the people of Hamilton to enjoy and actively participate in the arts while:

- Enhancing social, economic and cultural prosperity
- Building strength in the arts sector

Grant Stream	Funding Category
Arts Operating	☐ Established Professional
To provide not for profit arts organizations with	Organizations
assistance towards a stable funding base to	☐ Established Semi-Professional
enable them to further their mission.	Organizations
	☐ Emerging Organizations
Arts Festivals	
To enhance the ability of organizations to produce	□ Established Festivals
and present arts festivals that have high merit,	☐ Emerging Festivals
strong impact and solid viability.	
Capacity Building for Arts Organizations	
To strengthen the relevance, responsiveness,	Not applicable
effectiveness and resilience of arts organizations.	
Creation & Presentation Grants for Arts	
Professionals	☐ Established Artists / Collectives
To provide the opportunity for arts professionals	□ Emerging Artists / Collectives
to create and to present a body of work that will	
build and / or strengthen their careers and / or	
enhance the creative profile of the city of	
Hamilton.	

Communities, Culture & Heritage Program

To support organizations, events and activities that engage the public in strengthening and celebrating community identity – the people, places and things that make up Hamilton.

Grant Stream	Funding Category
Events & Established Activity	
To support public participation in the celebration	☐ Small Requests
of community identity through related activities	□ Large Requests
(e.g. programs and events) delivered by cultural	

organizations that provide reoccurring experiences, which have merit, impact and viability.	
New Projects	
To support new projects from cultural	Not applicable
organizations that increase public access to	
cultural experiences, expressions, and content.	
Capacity Building for Cultural Organizations	
To strengthen the relevance, responsiveness,	Not applicable
effectiveness and resilience of cultural	
organizations.	

Community Services Program

To strengthen the human services system by funding programs that:

- Meet current or emerging community priorities
- Foster the growth of new programs
- Support Equity Diversity, Inclusion and Belonging
- Engage equity-deserving groups
- Address underserviced target groups
- Promote systems change
- Explore service delivery innovation.

Please note: all new requests for funding, must apply through the Emerging Needs stream

Grant Stream	Funding Category
Program Streams	 □ No one is hungry or without shelter □ Everyone feels safe □ Every child and family thrives □ No youth is left behind □ Everyone can age in place □ Community capacity grows □ Everyone has someone to talk to □ Emerging Needs

Digital Program (Pilot Program)

To support organizations, events and activities that support efforts to achieve digital equity, promote digital inclusion, and spur greater adoption of broadband among underserved populations.

Grant Stream	
Digital Equity	
To support organizations, events and activities that support efforts to achieve digital equity,	
promote digital inclusion, increase digital literacy within the community.	

Environment Program

To invest in action-oriented initiatives that:

- Protect, rehabilitate, or enhance the natural environment
- Provide residents and visitors to Hamilton with access to natural spaces Reduce greenhouse gas emissions
- · Address the challenges and/or build resilience to the impacts of climate change
- Strengthen the local environmental sector or local environmental organizations

Grant Stream

Capacity Building

To strengthen the relevance, responsiveness, effectiveness and resilience of environmental organizations.

Projects & Programs

To support projects and programs that promote clean air, water, and soil, protect and enhance biodiversity, provide access to natural spaces, and address the challenges of climate change, either by reducing greenhouse gas emissions or by building resilience to climate change impacts.

Sport & Active Lifestyles Program

To provide opportunities for all the people of Hamilton to enjoy and actively participate in sport while:

- Enhancing social, economic and cultural opportunities
- Building community pride and connection

Grant Stream

Long-Term Athlete Development (LTAD / PD) Planning & Implementation

To enable sport organizations to further their mission and align with their provincial and / or national body's Long-term Athlete / Player Development model.

Sport Awareness

To enhance the ability of organizations to grow, develop and promote programs with high merit and strong impact.

Capacity Building

To strengthen the relevance, responsiveness, effectiveness and resilience of sport-related organizations.

Sport Development / Inclusion

To engage marginalized populations by supporting creative and visionary projects that use sport as the mechanism for something greater than itself.

Accessibility

To increase participation and engage persons with disabilities that would not otherwise be able to participate in sport or physical activities through enhancing the resources in collaboration with community partners.

Active for Life

To provide the opportunity for new and expanding programs for a targeted population that will build and/or strengthen physical literacy skills and support healthy, active lifestyles.

Multi-Sport Hosting

To provide the opportunity for organizations to host Multi-Sport competition / tournaments and larger events that will showcase Hamilton as a Canadian Sport for Life community. The event must align with the Long-Term Athlete Development model.

How To Begin

The application process is similar across all program areas. To begin read through these guidelines and the program handbook specific to the funding stream you would like to apply to.

The program specific handbooks provide additional eligibility criteria, eligible expenses, information regarding the application questions, and the criteria used to assess your application

APPLYING ONLINE

City Enrichment Fund applications must be submitted online at www.hamilton.ca/cityenrichmentfund. Applicants are able to create a profile, review applications, find application handbooks, upload support material and attach documents on the online submission platform.

Browser requirements:

- Internet Explorer 9.0 and above
- Microsoft Edge
- Firefox 4.0 and above
- Safari 5.0 and above
- Chrome 4.0 and above

Please note applications will not currently run on the Microsoft Pocket PC.

Applicants out of country will not be able to access the application form on-line for security safety.

The Application

Components of the Grant Application

Remember to reference the program specific handbook for detailed information. Note that all word counts are approximate.

Application Section	Content or Information requested
Organization/Applicant Information	 Please select the CITY ENRICHMENT FUND Program Area and stream. General organization / applicant information and contact Organization mandate or Mission Statement or Artistic Statement Organization History or Artist Bio
Organizational Overview	Program Budget Form UploadLegal status

Program Information	Provide details of your program*, specific funding use, community benefits, program outcomes, and community partnerships, etc.
	For a detailed description, consult the relevant program and program specific Handbook.
	*The term Program is inclusive of operations, projects, activities, events, and initiatives.
Your Program and the City's Strategic Plan	Align your program with the City's Strategic Plan through Our Future Hamilton - Community Vision.
Program Specific Questions	For the program specific questions, consult the relevant program specific handbook.
	NOTE: WORD COUNTS ARE APPROXIMATE.
Attachments	General List of support material. Refer to the program specific handbook for specific requirements. Board of Directors list Staff list Audited Financial Statements* Program Marketing Documents (brochures, pamphlets, posters, etc) Program specific material CV
	*Audited Financial Statements are required for grant requests greater than or equal to \$30,000. Please consultant the program specific handbook for requirements. Do not submit originals. The City Enrichment Fund is not responsible for the loss or damage of support material submitted.
Declaration	Declaration, terms of use, applicant signature(s) *The City's investment is not to exceed 30% of the total initiatives budget per calendar year. The Recipient will track funding from all departments of the City (departmental budgets, Councillor contributions; area rating etc.) and immediately inform the City Enrichment Fund Coordinator if funding exceeds the 30% rule from all sources within the City budget in a calendar year to discuss a repayment plan.

PROGRAM BUDGET

In addition to financial statements, every City Enrichment Fund application requires a completed budget that represents the entire program including all revenues, expenses, and inkind amounts. Reference the guidelines and handbook to ensure that all of your expenses are eligible. A good budget is realistic, thorough, detailed, and is reflective of your application.

THE ADJUDICATION PROCESS

The adjudication process begins immediately after the submission deadline. Staff begin by reviewing applications for completeness and eligibility. **Ineligible and incomplete applications will not be assessed.**

You MUST consult the relevant program Handbook for the specific assessment criteria and weighting

Applications are assessed based on the assessment criteria **specific to each program area** and funding stream.

WHO REVIEWS THE APPLICATIONS?

Applications must demonstrate good use of public funds. They are assessed according to program area, stream, and category. For example, organizations applying within the *Agriculture Program & Events* category will be assessed in the context of applications from other established agricultural organizations. Adjudication panels review each application, including attached documents against the assessment criteria.

Adjudication panels are composed of selected City of Hamilton staff (or relevant sector-based individuals) and community reviewers based on their familiarity with the specific program area and fair-mindedness. In cases of unique or unforeseen circumstances, a two-person adjudication panel is acceptable.

Arts adjudication panels are composed of peer assessors and staff from the Tourism and Culture Division. Participants on the assessment panel will be selected based on familiarity with the arts sector and fair-mindedness and may be chosen from across the province if required.

The names of all adjudicators are published on the City of Hamilton website.

Peer assessors are required to complete a conflict of interest form which is maintained by the City Enrichment Fund program area manager. City staff who act as adjudicators are covered by the City's Conflict of Interest policy and are responsible for informing the program area manager of any direct or indirect conflict of interest.

ADJUDICATION PROCESS

- 1. Adjudicators receive all of the application material for review.
- 2. A financial analysis is completed on all financial information.
- 3. The financial reports inform the adjudication panel on the financial health of an organization.
- 4. Prior to a team adjudication meeting, adjudicators first review each application independently to provide initial scores.
- 5. A passing score for an application is 60. A score of 60 or above does not guarantee a recommendation of funds.

APPLICATION SCORING AND RATING

A panel of adjudicators assess the applications based on the assessment criteria specific to each program area, determining a final score. The application final score will have a direct impact on staff recommendations and funding outcomes. A passing final score of 60 or above will qualify, but not guarantee the application for possible funding. The applications will be categorized into one of three categories based on the final score:

• Excellent Application (A rating and final score of 80 or above): Applicant demonstrates strong program merit and alignment to the City of Hamilton's strategic funding priorities.

- Fair Application (B rating and final score between 60 and 79): Applicant demonstrates good program merit and alignment to the City of Hamilton's strategic funding priorities.
- Failed Application (C rating and final score below 60): Applicant fails to demonstrate program merit and / or alignment to the City of Hamilton's strategic funding priorities. Application not eligible for funding.

APPROVAL PROCESS

- 1. The adjudication panels' findings inform recommendations developed by City of Hamilton staff.
- 2. City staff will prepare a report containing a summary sheet for each application that contains the names of the organization and program, the funding request, the previous year's funding amount, the program budget, the funding recommendation, and a brief program summary.
- 3. The report will be reviewed by the Grant Sub-Committee for approval.
- 4. The report is then submitted to the Audit, Finance and Administration Committee for approval.
- 5. Final approval of the report is made by City Council.

Funding amounts for grants is dependent on the available budget of the City Enrichment Fund

NOTIFICATIONS

All City Enrichment Fund applicants are notified with the result of their application(s) by the Grants Coordinator. Following City Council approval, notifications are distributed via email. Successful applicants will receive an agreement form to initiate payment of funds.

FUNDING AGREEMENT (SUCCESSFUL APPLICANTS)

A funding agreement (contract) is required from all applicants receiving funding from the City of Hamilton. Funding Agreements are signed and submitted through DocuSign.

- Successful applicants will receive a funding agreement included with their notification letter.
- The form must be filled out, signed, and returned to the City Enrichment Fund coordinator in order for funding to be processed.
- Funding will not be released without the receipt of a signed agreement.
- City Enrichment Fund agreement forms may be submitted at any time after notifications, but no later than the submission deadline.
- The agreement form outlines the terms and conditions of funding.

PAYMENT PLAN

Upon City Council approval and the receipt of the signed City Enrichment Fund funding agreement, the following payment plan will apply:

Funding Amount	Payment Schedule
\$0 - \$10,000	Paid out 100%

\$10,001 - \$100,000	Paid 80% on Council Approval; 20% November 1st
Over \$100,000	Paid monthly

REPORTING REQUIREMENTS

- Reporting requirements and forms will be distributed by program managers.
- Grant recipients must submit a report and demonstrate that grant funds were spent on programming as described in the application.

Final Reports may include the following information:

- Financial information with actual program costs
- Statistics
- Program summary
- Results of measurable outcomes
- Summary of CITY ENRICHMENT FUND acknowledgment

All final reports must be submitted before subsequent grant applications can be approved. Non-Returning applicants will be required to submit a complete final report for audit purposes.

Program Areas	Reporting Requirements and Deadline
Agriculture	Report required: Due February 1 st annually
Arts	Report required: Organizations due February 1st annually Artists due April 1st annually
Communities, Culture, & Heritage	Report required: Due February 1 st annually
Community Services	Report required: Due April 30 th annually
Sport & Active Lifestyles	Report required: Due February 1 st annually
Environment	Report required: Due February 1 st annually

GLOSSARY OF TERMS

Introduction

These definitions have been prepared by the City of Hamilton to provide additional clarity around the terms used in the City Enrichment Fund program. Please note that the language and definitions used are intended to be as inclusive of the breadth and depth of each funding sector and may differ from definitions used by other funding programs.

General

Activity

Activities includes programs and / or events that have general appeal, a theme of interest, a public profile and public access on a free and / or paid basis. Activities must occur on a reoccurring basis, e.g. annual or seasonally.

Agriculture Organization

An agriculture organization has a mandate or mission to encourage an awareness of agriculture and to promote improvements in the quality of life of persons living in an agricultural community. For the purposes of this program, Agriculture Organizations may include farmers' markets, community gardens and horticulture societies

Artist - Amateur / Non Professional Artist

An individual who undertakes artistic activity for personal pleasure and / or recreation without an expectation of financial gain.

Arts Professional

An individual who has professional experience in the creation, production and / or presentation of the arts.

Amateur / Non Professional Arts Organization

An amateur/non-professional arts organization is generally led by volunteers who pursue standards of excellence within their discipline and includes participants who are not generally paid for the artistic work they do within the organization.

Artistic Merit

The City of Hamilton recognizes that all artists strive for excellence in their work. The fact that everyone experiences artistic work in his / her own way can make the assessment of artistic merit challenging. Three dimensions will be considered when assessing artistic merit:

- Idea the intention or artistic impetus behind the work
- Practice the effectiveness of how the work is put into practice and the impact it has on those experiencing it
- Development the contribution the work makes to the development of the artist, the art form and the arts sector

Arts Festival

A festival is a collection of arts activities presented over a set period of time with some theme of interest. A festival may be devoted to one or more arts disciplines and can be produced annually, on a reoccurring basis, or one-time. A festival has general appeal, public profile and public access on a free and/or paid basis.

Arts Organization

An arts organization has a mandate and a mission to create, produce, present, and/or disseminate art in one or more artistic discipline.

Assessment / Adjudication

An evaluation of the submitted application made by an adjudicator, and/or adjudication panel comprised of professionals currently practicing and/or knowledgeable in a discipline relevant to the applications being considered. Applications are assessed using assessment criteria as identified in these guidelines.

Capacity Building

A process that strengthens four interrelated pillars – the relevance, responsiveness, effectiveness and resilience of organizations.

Capital

Capital assets are tangible assets that a business requires in order to operate. Capital assets are not intended for sale and usually have lifespans of a year or longer (i.e. land, building, machinery, furniture, etc.)

Capital Improvement

A capital improvement is the addition of a permanent structural change or the restorations of some aspect of a property that will either enhance the property's overall value, prolongs its useful life, or adapt it to new uses.

Collective

A collective is defined as a group of two or more artists working together under a group name, either on a single project or on an ongoing basis.

Community

A group of people bound by common beliefs, values or interests, ethnicity or place of origin, geography or other self-identified commonality.

Community Identity

The unique people, places and things that honour Hamilton's past, celebrate its present and envision its future.

Community Impact

The way in which local residents, organizations and businesses are involved with the activity. Involvement can include volunteers, participants, audience members, partners, sponsors, etc.

Cultural Organization

A cultural organization has a mandate and a mission to preserve, research, document, interpret, celebrate and / or disseminate community identity – the people, places and things that make up the city of Hamilton.

Emerging Artist

An artist who is at an early stage in their career, who has specialized training in the art form, and who has created a modest body of artistic work. Typically emerging artists have been practicing professionally for less than seven years.

Emerging Arts Organization

An emerging arts organization is a not-for-profit professional or semi-professional arts organization that has been incorporated for between one and four years and has a history of sustained activity on an annual basis.

Established Artist

An artist who has developed skills through training and / or practice in an arts discipline, has a significant history of professional public exposure and presentation, is recognized by their peers, and is paid professional fees. Typically established artists have been practicing professionally for seven years or more.

Established Arts Organization

An established arts organization is a not-for-profit professional or semi-professional arts organization that has been incorporated for five or more years and has a history of sustained activity.

Environmental Organization

An environmental organization has a mandate and a mission to protect, rehabilitate, or enhance the natural environment.

Event

A one-off single activity, occurrence or celebration, typically taking place over a concentrated period of time, such as a few hours. Examples of events are rallies, demonstrations, single performances or other single artistic activities.

Economic Impact

Economic Impact is the effect a particular program, festival, or event may have on the economy (neighbourhood or city). Direct and indirect results can be measured in the changes to employment, membership, spending, participation, etc.

Grant

A sum of money given by the City of Hamilton for a particular purpose.

Capital Grant

A capital grant provides financial support towards building upgrades and technical or specialized equipment.

In-Kind

A contribution of equipment, supplies, resources, volunteer time, or other tangible resource. Inkind contributions are given a fair-market monetary value. Please note that in-kind values are not included within the cash budget and is not used when calculating funding amounts.

Not-for-profit

Is an organization who:

- Is dedicated to purposes other than pursuing a profit,
- Is a corporation without share capital, which means that the corporation does not issue ownership shares,
- May not distribute any profits to its members, directors or officers,
- Must use any profit exclusively for its not-for-profit purposes, and,
- Includes both charitable and non-charitable organizations.

Organizations may demonstrate their non-profit status through letters patent or a combination of organizational documents.

Operating Grant

An operating grant provides support for the day-to-day costs of running a not for profit organization as it fulfills its mission. Operating funding cannot be used for capital expenses.

Peer Assessor

A professional in a specific sector with specialized knowledge and experience in one or more area and / or disciplines.

Peer Assessment

Peer assessment is an evaluation of the submitted application made by an assessor, and / or assessment panel comprised of knowledgeable representatives of a specific sector who may be currently working / practicing in that sector.

Project Grant

Project grant programs provide one-time funding for time-limited projects.

Professional Arts Organization

A professional arts organization is led by arts professionals who observe best practices and pursue standards of excellence within their discipline. Professional organizations are those who work with other arts professionals whom they pay, at minimum standard fees, for the artistic work.

Professional Services / Consultant

Fees paid to outside professionals for expertise; consultants, branding agencies, specific support workers, website designers. These professionals are considered experts in their field and your organization or its parenting company do not have the expertise to provide this specific service. Contracts with program providers, facilitators or presenters are not allowed.

Public Access

Public access implies that any member of the public may attend or participate whether the activity is free or an admission fee is charged. Events where membership is required to participate do not meet the definition of public access.

Rural

The area within the municipal boundary of the City of Hamilton but outside of the urban boundary.

Semi-Professional Arts Organization

A semi-professional arts organization is generally led by arts professionals who pursue standards of excellence within their discipline and includes participants who are not generally paid for the artistic work they do within the organization.

Sport Organization

A sport organization has a mandate and a mission to create and provide organized sport opportunities.