

Instructions:

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses beyond the nomination fee must complete Boxes C, D, Schedule 1, and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor’s Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who was responsible for the conduct of the election.

| | | | | | | | |
|---|------|----|----|----|------|----|----|
| For the campaign period from (day candidate filed nomination) | YYYY | MM | DD | to | YYYY | MM | DD |
| | 2014 | 08 | 11 | | 2014 | 12 | 31 |

- Primary filing reflecting finances to December 31 (or 45th day after voting day in a by-election)
- Supplementary filing including finances after December 31 (or 45th day after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate’s name as shown on the ballot

Last Name

VanderBeek

Given Name(s)

Arlene

Name of office for which the candidate sought election

Councillor

Ward name or no. (if any)

Ward 13

Name of Municipality

City of Hamilton

Spending limit issued by clerk

\$21,030.15

I did not accept any contributions or incur any expenses other than the nomination fee. *(Complete Box A and B only)*

Box B: Declaration

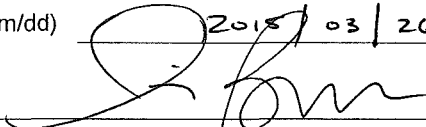
I, Arlene VanderBeek, a candidate in the municipality of the City of Hamilton, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before (clerk or commissioner)

in the


CITY OF HAMILTON

on (yyyy/mm/dd)

2015/03/26

 Signature of Clerk or Commissioner

2015/03/26

Date Filed in the Clerk’s Office (yyyy/mm/dd)


 Signature of Candidate

Box C: Statement of Campaign Income and Expenses**LOAN**

Name of bank or recognized lending institution _____

Amount borrowed \$ _____

INCOME

| | | | |
|--|-------------|------------------|-----------|
| Total amount of all contributions (From line 1A in Schedule 1) | + \$ | 19,584.51 | |
| Refund of nomination filing fee | + \$ | 100.00 | |
| Sign deposit refund | + \$ | | |
| Revenue from fund-raising events not deemed a contribution (From Part III of Schedule 2) | + \$ | | |
| Interest earned by campaign bank account | + \$ | | |
| Other (provide full details) | | | |
| 1. | + \$ | | |
| 2. | + \$ | | |
| 3. | + \$ | | |
| Total Campaign Income (Do not include loan) | = \$ | 19,684.51 | C1 |

EXPENSES (Note: include the value of contributions of goods and services)**Expenses subject to spending limit**

| | | | |
|--|-------------|------------------|-----------|
| Nomination filing fee | + \$ | 100.00 | |
| Inventory from previous campaign used in this campaign (list details in Table 5 of Schedule 1) | + \$ | | |
| Advertising | + \$ | 7,378.69 | |
| Brochures/flyers | + \$ | 7,575.30 | |
| Signs (including sign deposit) | + \$ | 1,519.85 | |
| Meetings hosted | + \$ | 2,151.86 | |
| Office expenses incurred until voting day | + \$ | 419.21 | |
| Phone and/or Internet expenses incurred until voting day | + \$ | 74.47 | |
| Salaries, benefits, honoraria, professional fees incurred until voting day | + \$ | | |
| Bank charges incurred until voting day | + \$ | 28.27 | |
| Interest charged on loan until voting day | + \$ | | |
| Other (provide full details) | | | |
| 1. | + \$ | | |
| 2. | + \$ | | |
| 3. | + \$ | | |
| Total Expenses subject to spending limit | = \$ | 19,247.65 | C2 |

Expenses not subject to spending limit

| | | | |
|--|-------------|------------------|-----------|
| Accounting and audit | + \$ | 847.50 | |
| Cost of fund-raising events/activities (list details in Part IV of Schedule 2) | + \$ | | |
| Voting day party/appreciation notices | + \$ | 367.25 | |
| Office expenses incurred after voting day | + \$ | | |
| Phone and/or Internet expenses incurred after voting day | + \$ | | |
| Salaries, benefits, honoraria, professional fees incurred after voting day | + \$ | | |
| Bank charges incurred after voting day | + \$ | 13.32 | |
| Interest charged on loan after voting day | + \$ | | |
| Expenses related to recount | + \$ | | |
| Expenses related to controverted election | + \$ | | |
| Expenses related to compliance audit | + \$ | | |
| Expenses related to candidate's disability (provide full details) | | | |
| 1. | + \$ | | |
| 2. | + \$ | | |
| 3. | + \$ | | |
| Other (provide full details) | | | |
| 1. | + \$ | | |
| 2. | + \$ | | |
| 3. | + \$ | | |
| Total Expenses not subject to spending limit | = \$ | 1,228.07 | C3 |
| Total Campaign Expenses (C2 + C3) | = \$ | 20,475.72 | C4 |

Box D: Calculation of Surplus or Deficit

| | | | | |
|--|---|----|-----------------------------|----|
| Excess (deficiency) of income over expenses (Income – Total Expenses) (C1 – C4) | + | \$ | <u>(891.20)</u> | D1 |
| Eligible deficit carried forward by the candidate from the last election | - | \$ | <u> </u> | D2 |
| Total (D1 – D2) | = | \$ | <u>(891.20)</u> | |
| If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign | - | \$ | <u> </u> | |
| Surplus (or deficit) for the campaign | = | \$ | <u>(891.20)</u> | D3 |

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Amount of \$ _____ paid to municipal clerk in the municipality of _____.

Schedule 1 - Contributions

Part I – Summary of Contributions

| | | |
|--|-------------|---------------------|
| Contribution from candidate (include the value of inventory listed in Table 5) | + \$ | 6,924.51 |
| Contribution from spouse | + \$ | 200.00 |
| Total value of contributions not exceeding \$100 per contributor | | |
| • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse). | + \$ | 700.00 |
| Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Tables 1 – 4) | | |
| • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse). | + \$ | 12,360.00 |
| Less: Contributions returned or payable to the contributor | – \$ | 600.00 |
| Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$10 | – \$ | |
| Total Amount of Contributions (Record in Box C) | = \$ | 19,584.51 1A |

Part II – List of Contributions from Each Single Contributor Totalling more than \$100

Table 1: Monetary contributions from individuals other than candidate or spouse

| Name | Full Address | Amount \$ |
|-------------------|--------------|-----------|
| See Attached List | | |
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| | | |
| Total | | 6,750.00 |

Additional information is listed on separate supplementary attachment

Arlene VanderBeek Municipal Campaign - 2014
Monetary Contributions from Individuals Totalling more than \$100

| Surname | First Name | Street | City | Pcode | \$ |
|----------------|-------------------|---|-------------|--------------|-----------|
| Crozier | Clare | 103-160 Ogilvie St. | Dundas | L9H 7M7 | \$250.00 |
| Curtis | Peter | 8 Romar Dr RR#1, RPO, 1677 | Dundas | L9H 5E2 | \$250.00 |
| King | Mark | Book Rd W. | Jerseyville | L0R 1R0 | \$300.00 |
| Juravinski | Charles | 626 Harvest Rd | Dundas | L9H 5K7 | \$300.00 |
| J. Edward | Dillane | 12 Bold St. | Hamilton | L8P 1T2 | \$150.00 |
| Beel | Ken | 75 Sundial Cres. 591 Safari Road, RR | Dundas | L9H 7R6 | \$500.00 |
| Jobb | Sheldon | #1 | Millgrove | L0R 1V0 | \$500.00 |
| Crockford | Robert | 221 Ferguson Ave S | Hamilton | L8N 2N3 | \$500.00 |
| Powers | Russ | 173 King Street W | Dundas | L9H 1V3 | \$500.00 |
| Naccarato | Raffaele | 5 Mill St South | Waterdown | L0R 2H0 | \$500.00 |
| Bontje | Tom | 50 South St | Dundas | L9H 4C6 | \$500.00 |
| Klein | John M | 74 Walnut St. S. | Hamilton | L8N 2L3 | \$500.00 |
| Boulos | Pauline | 59 Walcotte Ave | Ancaster | L9K 1M8 | \$500.00 |
| Mills | James F. | 17 Bond St. S. | Dundas | L9H 3G9 | \$750.00 |
| Armstrong | Heather | 1684 Concession 2 W, | Lynden | L0R 1T0 | \$750.00 |

Total \$6,750.00

Table 2: Monetary contributions from corporations or unions

| Name (Legal and Carrying on Business As) | Full Address | President or Business Manager | Authorized Representative | Amount \$ |
|---|--------------|-------------------------------|---------------------------|-----------|
| See Attached List | | | | |
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| | | | | |
| <input checked="" type="checkbox"/> Additional information is listed on separate supplementary attachment | Total | | | 5,200.00 |

Table 3: Contributions in goods or services from individuals other than candidate or spouse
 (Note: must also be recorded as expenses in Box C)

| Name | Full Address | Description of Goods or Services | Value \$ |
|---|--------------|----------------------------------|----------|
| See Attached List | | | |
| | | | |
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| | | | |
| <input checked="" type="checkbox"/> Additional information is listed on separate supplementary attachment | Total | | 310.00 |

Arlene VanderBeek Municipal Campaign - 2014
Monetary Contributions from Corporations or Unions

| Corporation or Union | Street | City | Pcode | President or Bus Mgr. | \$ |
|---|------------------|-------------|--------------|------------------------------|-------------------|
| 118468 Ontario Ltd | 60 Hatt St | Dundas | L9H 7T6 | Richard Liebttag | \$500.00 |
| Wheeling World Limited | 140 King St. E | Hamilton | L8N 1B2 | Clare Sellens | \$500.00 |
| Dundas Valley Collision | 77 Mercer St. | Dundas | L9H 6C7 | Alex Szabo | \$750.00 |
| Dundas Self Storage Inc | 1147 Garner Rd W | Ancaster | L9G 3K9 | Len Mederos | \$750.00 |
| Valmos Developments Inc. | 7049 Twenty Rd E | Hannon | L0R 1P0 | Mike Valvasori | \$750.00 |
| 2125746 Ontario Inc | 7049 Twenty Rd E | Hannon | L0R 1P0 | David Valvasori | \$750.00 |
| 419207 Ontario Limited | 26-60 Dundas St. | Dundas | L9H 7M6 | John Mills | \$200.00 |
| Cochren Contractors & Engineers Inc | P.O. Box 65571 | Dundas | L9H 6Y6 | John Cochren | \$500.00 |
| Urban Core Developments (<i>Returned</i>) | 1147 Garner Rd W | Ancaster | L9G 3K9 | Sergio Machia | \$500.00 |
| Total | | | | | \$5,200.00 |

Arlene VanderBeek Municipal Campaign - 2014
Contributions in Goods or Services from Individuals other than candidate or spouse

| Surname | First Name | Street | City | Pcode | Description | \$ |
|----------------|-------------------|----------------------|-------------|--------------|------------------------|-----------------|
| Litzen | Julie | 36 Woodlawn Ct | Dundas | L9H 7S2 | Campaign shirts | \$110.00 |
| | | | | | Shared use of 6' x 10' | |
| Kraemer | Phyllis | 691 Crooks Hollow Rd | Dundas | L9H 5J6 | Campaign Office Space | \$200.00 |
| | | | | | Total | \$310.00 |

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket Revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

+ \$ _____ **2A**

Number of tickets sold **X** _____ **2B**

Total Ticket Revenue (2A x 2B) (Include in Schedule 1) = \$ _____

Part II – Other revenue deemed a contribution

(provide details (e.g. revenue from goods sold in excess of fair market value))

1. _____ + \$ _____

2. _____ + \$ _____

3. _____ + \$ _____

4. _____ + \$ _____

5. _____ + \$ _____

Total Part II Revenue (include in Schedule 1) = \$ _____

Part III – Other revenue not deemed a contribution

(provide details (e.g. contributions of \$10 or less; market value of goods or services sold))

1. _____ + \$ _____

2. _____ + \$ _____

3. _____ + \$ _____

4. _____ + \$ _____

5. _____ + \$ _____

Total Part III Revenue (include in Box C) = \$ _____

Part IV – Expenses related to fundraising event or activity (provide details)

1. _____ + \$ _____

2. _____ + \$ _____

3. _____ + \$ _____

4. _____ + \$ _____

5. _____ + \$ _____

6. _____ + \$ _____

7. _____ + \$ _____

8. _____ + \$ _____

Total Part IV Expenses (include in Box C) = \$ _____

Auditor's Report*Municipal Elections Act, 1996 (Section 78)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Chartered Professional Accountant (CPA)

Municipality
Milton, ONDate (yyyy/mm/dd)
2015/03/09**Contact Information**

Name

Last Name

Mullin

First Name

Hugh Desmond

Licence Number

1-6523

Address

Suite/Unit No.

Street No.

107

Street Name

Martin Street

City/Town

Milton

Province

ON

Postal Code

L9T 2R3

Telephone No. (including area code)

905-878-0558

ext.204

Fax No.

905-878-7493

Email Address

des@mullinmiculelesszagala.ca

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 78 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

MULLIN MICULES SZAGALA LLP

Chartered Professional Accountants

"Your success is our business"

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INDEPENDENT AUDITOR'S REPORT

To: The City Of Hamilton Clerk

We have audited the accompanying financial Statement – From 4 of Arlene VanderBeek, Candidate which comprise the statement of campaign income and expenses and the calculation of surplus or deficit for the campaign period from August 11, 2014 to December 31, 2014 relating to the election held on October 27, 2014. The financial statements have been prepared by the Candidate based on the accounting treatment prescribed by the Municipal Elections Act, 1996 (Section 78).

Candidate's Responsibility for the Financial Statements

The Candidate is responsible for the preparation and fair presentation of these statements in accordance with the accounting treatment prescribed by the Municipal Elections Act, 1996 (Section 78) and for such internal control as the Candidate determines necessary to enable the preparation of statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these statements based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Candidate's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Candidate's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the Candidate, as well as evaluating the overall presentation of the statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified audit opinion.

Basis for Qualified Opinion

Due to the nature of the types of transactions inherent in an election campaign, it is impracticable through auditing procedures to determine that the accounting records include all donations of goods and services, and receipts and disbursements. Accordingly, our verification of these transactions was limited to ensuring that the statements reflect the amounts recorded in the accounting records of Arlene VanderBeek, Candidate in accordance with the accounting procedures established by the Municipal Elections Act, 1996 (Section 78) and we were not able to determine whether any adjustments might be necessary to income and expenses and surplus or deficit.

Qualified Opinion

In our opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion paragraph, the statements present fairly, in all material respects, the income and expenses for the campaign period from August 11, 2014

to December 31, 2014 and the determination of surplus or deficit in accordance with the accounting treatment prescribed by the Municipal Elections Act, 1996 (Section 78).

Basis of Accounting and Restriction on Use and Distribution

Without modifying our opinion, we draw attention to the fact these Financial Statements are prepared in accordance with the accounting treatment prescribed by the Municipal Elections Act, 1996 (Section 78). The Financial Statements are prepared to assist Arlene VanderBeek, Candidate, to meet the requirements of the City of Hamilton. Our report is intended solely for the Candidate and the city of Hamilton and should not be distributed to or used by parties other than the Candidate or the City of Hamilton.

March 9, 2015
Milton, Ontario

Mullin Meites Szagala LLP
LICENSED PUBLIC ACCOUNTANTS