

**Instructions**

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 

YYYY	MM	DD
2 0 1 8	0 7	2 4

 to 

YYYY	MM	DD
2 0 1 8	1 2	3 1

Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)

Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

**Box A: Name of Candidate and Office**

Candidate's name as shown on the ballot

Last Name or Single Name

Nann

Given Name(s)

Nrinder

Office for which the candidate sought election

Councillor

Ward name or no. (if any)

3

Municipality

Hamilton

Spending Limit - General

\$ 27,240.25

Spending Limit - Parties and Other Expressions of Appreciation

\$ 2,724.00

I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

**Box B: Declaration**



I, Nrinder Nann, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.



Signature of Candidate

2019/03/29

Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)	Time Filed	Initial of Candidate or Agent (if filed in person)	Signature of Clerk or Designate
2019/03/29	10:50 AM		

**Box C: Statement of Campaign Income and Expenses****LOAN**

Name of bank or recognized lending institution \_\_\_\_\_  
 Amount borrowed \$ \_\_\_\_\_

**INCOME**

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	26,658.59
Revenue from items \$25 or less	+ \$	190.00
Sign deposit refund	+ \$	
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	311.75
Interest earned by campaign bank account	+ \$	0.25
Other (provide full details)		
1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Campaign Income (Do not include loan)</b>	<b>= \$</b>	<b>27,160.59 C1</b>

**EXPENSES (Note: include the value of contributions of goods and services)****Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	
Advertising	+ \$	2,851.46
Brochures/flyers	+ \$	1,892.30
Signs (including sign deposit)	+ \$	2,497.88
Meetings hosted	+ \$	995.86
Office expenses incurred until voting day	+ \$	5,420.75
Phone and/or internet expenses incurred until voting day	+ \$	563.08
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	
Bank charges incurred until voting day	+ \$	578.87
Interest charged on loan until voting day	+ \$	
Other (provide full details)		
1. T-shirts	+ \$	1,149.00
2. Photography	+ \$	395.50
3. Web expenses	+ \$	542.00
4. Videography	+ \$	2,918.84
5. Call system	+ \$	981.79
<b>Total Expenses subject to general spending limit</b>	<b>= \$</b>	<b>20,787.33 C2</b>

**EXPENSES****Expenses subject to spending limit for parties and other expressions of appreciation**

1. Victory party	+ \$	1,321.89
2. Volunteer appreciation	+ \$	355.95
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Expenses subject to spending limit for parties and other expressions of appreciation</b>	<b>= \$</b>	<b>1,677.84 C3</b>

**Expenses not subject to spending limits**

Accounting and audit	+ \$	2,260.00	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$		
Office expenses incurred after voting day	+ \$	838.83	
Phone and/or internet expenses incurred after voting day	+ \$	209.03	
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$		
Bank charges incurred after voting day	+ \$	25.00	
Interest charged on loan after voting day	+ \$		
Expenses related to recount	+ \$		
Expenses related to controverted election	+ \$		
Expenses related to compliance audit	+ \$		
Expenses related to candidate's disability (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
Other (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
4. _____	+ \$		
5. _____	+ \$		
<b>Total Expenses not subject to spending limits</b>	<b>= \$</b>	<b>3,332.86</b>	<b>C4</b>

**Total Campaign Expenses (C2 + C3 + C4) = \$ 25,798.03 C5**

**Box D: Calculation of Surplus or Deficit**

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	1,362.56	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$		D2
<b>Total (D1 – D2)</b>	<b>= \$</b>	<b>1,362.56</b>	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	8,092.00	
Surplus (or deficit) for the campaign	<b>= \$</b>	<b>-6,729.44</b>	<b>D3</b>

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

**Schedule 1 - Contributions**

**Part I – Summary of Contributions**

Contributions in money from candidate and spouse	+ \$ 8,092.00
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$ 2,000.00
Total value of contributions not exceeding \$100 per contributor	
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$ 4,150.00
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2)	
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$ 12,416.59
<b>Less:</b> Contributions returned or payable to the contributor	– \$
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	– \$
<b>Total Amount of Contributions (record under Income in Box C)</b>	<b>= \$ 26,658.59 1A</b>

**Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse**

**Table 1: Monetary contributions from individuals other than candidate or spouse**

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
See attached details			11,799.00	
<b>Total</b>			<b>11,799.00</b>	

Additional information is listed on separate supplementary attachment

**Table 2: Contributions in goods or services from individuals other than candidate or spouse**  
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
Erin O'Neil	105 Robinhood Drive, Hamilton, ON L9H4 G2	Web Hosting	2018/12/01	216.00
K Celeste Taylor	80 Debora Drive, Hamilton, ON L3M 4J3	Office supplies and food	2018/10/19	201.45
Matthew Green	232 Holton Avenue South, Hamilton, ON L8M 2L7	Facebook advertising	2018/09/30	200.14
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			<b>Total</b>	<b>617.59</b>

Total for Part II - Contributions exceeding \$100 per contributor  
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions) **\$ 12,416.59 1B**

**Part III – Contributions from candidate or spouse**

**Table 3: Contributions in goods or services**

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
Video production	2018/10/29	2,000.00
<input type="checkbox"/> Additional information is listed on separate supplementary attachment		<b>Total</b>
		<b>2,000.00</b>

**Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign**  
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			<b>Total</b>	

**Schedule 2 – Fundraising Events and Activities**

**Fundraising Event/Activity**

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity \_\_\_\_\_

Date of event/activity (yyyy/mm/dd) \_\_\_\_\_

**Part I – Ticket revenue**

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

	\$	2A	
Number of tickets sold	X	_____	2B
<b>Total Part I (2A X 2B) (include in Part 1 of Schedule 1)</b>			= \$ _____

**Part II – Other revenue deemed a contribution**

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____	+	\$ _____	
2. _____	+	\$ _____	
3. _____	+	\$ _____	
4. _____	+	\$ _____	
5. _____	+	\$ _____	
<b>Total Part II (include in Part 1 of Schedule 1)</b>			= \$ _____

**Part III – Other revenue not deemed a contribution**

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. Pass the Hat Fundraising Activity	+	\$ 301.75	
2. T-shirt Sale	+	\$ 10.00	
3. _____	+	\$ _____	
4. _____	+	\$ _____	
5. _____	+	\$ _____	
<b>Total Part III (include under Income in Box C)</b>			= \$ <b>311.75</b>

**Part IV – Expenses related to fundraising event or activity**

Provide details

1. _____	+	\$ _____	
2. _____	+	\$ _____	
3. _____	+	\$ _____	
4. _____	+	\$ _____	
5. _____	+	\$ _____	
6. _____	+	\$ _____	
7. _____	+	\$ _____	
8. _____	+	\$ _____	
<b>Total Part IV Expenses (include under Expenses in Box C)</b>			= \$ _____

**Auditor's Report***Municipal Elections Act, 1996 (Section 88.25)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Chartered Professional Accountant

Municipality

Hamilton

Date (yyyy/mm/dd)

2019/03/22

**Contact Information**

Last Name or Single Name

Pitton

Given Name(s)

Christopher Joseph

Licence Number

3-31667

Address

Suite/Unit No.

200

Street No.

152

Street Name

Jackson Street East

Municipality

Hamilton

Province

Ontario

Postal Code

L8N 1L3

Telephone No. (including area code)

905 572-7220

Email Address

cpitton@cbmca.com

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.



DATE	CONTRIBUTOR INFORMATION							
	First Name	Last Name	Apt #	Street #	Street	City	Postal Code	Contribution Amount
9/15/18	Peter	Graefe		284	Hess St S	Hamilton	L8P 3P7	\$ 150.00
10/05/18	Blake	McCall	1	114	West Ave N	Hamilton	L8L 5C5	\$ 150.00
10/22/18	Waleed	Aslam	605	1950	Main St W	Hamilton	L8S 4M9	\$ 150.00
8/14/18	Lynn	Carlile	1	29	Rochester St	Ottawa	K1R 7L3	\$ 200.00
8/14/18	Treasa	Levasseur		98	Eastbourne Ave	Hamilton	L8M 2M8	\$ 300.00
8/14/18	Mark	Ellerker		2215	Concession 12 RR #4	Hagersville	N0A 1H0	\$ 200.00
8/15/18	Carol	Wall		114	Burnley Rd	Warkworth	K0K 3K0	\$ 200.00
9/04/18	Bonnie	Bates		167	Cathcart St	Ottawa	K1N 5C1	\$ 200.00
9/06/18	Philippa	Carter		3545	Eglinton Ave W	Toronto	M6M 1V7	\$ 200.00
9/14/18	Cathy	McPherson		151	Rothsay Ave	Hamilton	L8M 3G5	\$ 200.00
9/20/18	Helene	Ijaz		51	Morton Ave	Dundas	L9H 6W6	\$ 200.00
9/20/18	Andrew	Mackenzie		71	Keith St	Hamilton	L8L 3S2	\$ 200.00
10/01/18	Uzma	Qureshi		23	Erminia Crt	Hamilton	L8W 3K1	\$ 200.00
10/01/18	Kirk	Starkey		98	Myrtle Ave	Hamilton	L8M 2G2	\$ 200.00
10/01/18	Heryka	Miranda		125	Victoria Ave N	Hamilton	L8L 5E6	\$ 200.00
10/01/18	Patty	Clydesdale		40	Gage Ave S	Hamilton	L8M 3C9	\$ 200.00
10/11/18	Evelyn	Myrie		867	Main St E	Hamilton	L8M 1M2	\$ 200.00
10/15/18	Maneet	Boparai		139	Alessio Dr	Hamilton	L9B 0E8	\$ 200.00
10/19/18	Fred	Hahn	2216	40	Homewood Ave	Toronto	M4Y 2K2	\$ 200.00
10/23/18	Sara	Mayo		284	Hess St S	Hamilton	L8P 3P7	\$ 200.00
11/09/18	K Celeste	Taylor		80	Debora Dr	Hamilton	L3M 4J3	\$ 274.00
8/10/18	Dave	Hauch		230	Ottawa St S	Hamilton	L8K 2E8	\$ 275.00
8/11/18	Hitoko	Okada		220	Napier St	Hamilton	L8R 1S7	\$ 250.00
8/14/18	Steve	Calverley		6	Capital Pl	Elliot Lake	P5A 2P8	\$ 250.00
8/15/18	Jawara	Gairey		104	Echo Ridge Cres	Woodbridge	L4H 2K1	\$ 250.00
9/10/18	Marie	Clarke Walker		49	Gemshaw Cres	Scarborough	M1B 1L3	\$ 250.00
9/11/18	Denise	Campbell		68	Cole St	Toronto	M5A 0A8	\$ 250.00
10/22/18	Eric	Tuck		138	Julian Ave	Hamilton	L8H 5R9	\$ 250.00
10/22/18	Paul	Doucette		37	Cayuga St N - PO Box 75	Cayuga	N0A 1E0	\$ 250.00





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200 - 152 Jackson Street East, Hamilton, Ontario, L8N 1L3

## INDEPENDENT AUDITORS REPORT

**To David Hauch, Chief Financial Officer**

**Of Nringer Nann for Ward 3 Campaign, the City of Hamilton and the Ministry of Municipal Affairs**

We have audited the accompanying campaign period financial statements of the candidacy of Nringer Nann for Ward 3, which comprise of the Form 4 – Statement of Campaign Income and Expenses (“Form 4”) for the campaign period from July 24, 2018 to December 31, 2018. Form 4 has been prepared by the chief financial officer of the Nringer Nann for Ward 3 Campaign based on the financial reporting provisions of the Municipal Election Act, 1996 (the Act) and guidelines issued herein.

### Management’s Responsibility for the Financial Statements

The chief financial officer of Nringer Nann for Ward 3 Campaign is responsible for the preparation and fair presentation of this Form 4 in accordance with the financial reporting provisions of the Act and guidelines issued therein and for such internal controls as the chief financial offer determines is necessary to enable the preparation of Form 4 that is free from material misstatement, whether due to fraud or error.

### Auditor’s Responsibility

Our responsibility is to express an opinion on this Form 4 based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether Form 4 is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in Form 4. The procedures selected depend on the auditor’s judgement, including the assessment of the risks of material misstatement of Form 4, whether due to fraud or error. In making those risk assessments, the auditor considers internal controls relevant to the entity’s preparation and fair presentation of Form 4 in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity’s internal controls. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by management, as well as evaluating the overall presentation of Form 4.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified audit opinion.

### Basis for Qualified Opinion

Due to the inherent nature of the transactions of organizations of this type, the completeness of the various categories of income and expenses is not susceptible to satisfactory audit verification. Accordingly, our verification of Form 4 was limited to the amounts recorded in the records of Nringer Nann for Ward 3 Campaign and we were not able to determine whether any adjustments might be

necessary to income, expenses and period surplus/deficit for the campaign period from July 24, 2018 to December 31, 2018.

#### Qualified Opinion

In our opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion paragraph, this Form 4 presents fairly, in all material respects, income and expenses of Nrinder Nann for Ward 3 Campaign for the campaign period from July 24, 2018 to December 31, 2018 in accordance with the financial reporting provisions of the Act and the guidelines issued therein.

#### Basis of Accounting

Form 4 is prepared to assist the chief financial officer of Nrinder Nann for Ward 3 Campaign to meet the requirements of the Act and the guidelines issued therein. As a result, Form 4 may not be suitable for another purpose.

**Hamilton, Ontario**  
**March 22, 2019**

**CBM Chartered Accountants LLP**  
**Licensed Public Accountants**